



ENQUIRY

INTO THE

CONSTITUTIONAL AUTHORITY

OF THE

SUPREME FEDERAL COURT,

OVER THE

SEVERAL STATES,

IN THEIR POLITICAL CAPACITY,

BEING

An Answer to OBSERVATIONS upon the GOVERNMENT of the UNITED STATES of AMERICA:

By JAMES SULLIVAN, Esq. Attorney
General of the State of Massachusetts.

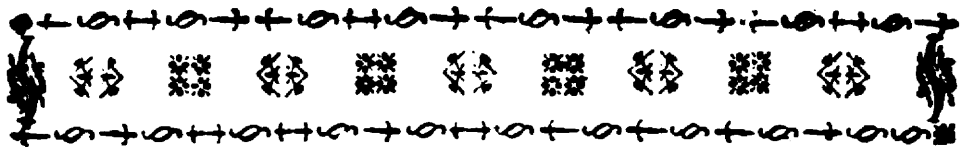
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CHARLESTON:

PRINTED BY W. P. YOUNG, BROAD-STREET.

M.DCC.XCII.

1772



AN ENQUIRY, &c.

A PAMPHLET has lately appeared under the signature of JAMES SULLIVAN, Esq. printed in Boston, entitled, "Observations upon the government of the United States of America;" in which the author has undertaken to discuss a question, whether under the federal government, an individual state can be called to answer, as a defendant in the court of the union? While I applaud the spirit and freedom, with which this writer discusses a question of so much magnitude, still I am constrained to differ from him in the doctrine which he inculcates, and endeavors to support.

The discussion of constitutional questions will always be matter of general concern; but it is peculiarly interesting at this period, when the constitution itself is new, its various modes of action undefined, its relative powers not fully unfolded, its principles not drawn out into practice, nor its virtues and defects completely ascertained. As every movement under it must be considered almost as an experiment, so every thing established under it will form a precedent, which may ripen into a rule.

Precedents established in the infancy of government will have their lasting effects. Bad ones may vitiate and even destroy the best constitution; good ones may mollify and almost reconcile the worst.

Mankind have always been found disposed to submit to the authority of precedent; and from whatsoever principle, in human nature, this proneness may arise, it cannot be denied to be productive of very beneficial effects. It stands a barrier against *versatility* in general;

which in every department of life, and particularly in government, is radically dangerous. For since human nature is ever struggling to accommodate herself to her situation, the struggles would be endless if the situation were always changing. Indeed, a constitution cannot be said to be fully established, until this desirable conformity is effected—its best security lies in the settled habits, the manners, the sentiments, and the confirmed acquiescence of the people. The river flowing in its ancient channel, which time has worn into uniformity, glides majestically on with an established momentum; but frequently conducted into new meanders, it becomes a noisy boisterous stream; or splitting into petty rivulets it loses both its force and beauty. The benefits of uniformity are not less observable in government, than in the broad expanse of nature's works, in the systems of morals, or the regions of science. It operates to harmonize the parts into a correspondence with each other, to adjust and proportion them to the whole, keeping them consistent in their proper station; and on the other hand to make the whole a homogeneous system, capable of being analysed into its parts, and of preserving equability of action throughout. This principle, co-operating with others, will ere long, I hope settle our present constitution firmly upon its base; that it shall be recognized by the next generation, not as a system to be tried by experiments, to be altered or repaired, but as one already ripened into use and approved—to be enjoyed by them, and transmitted down in successive ages. Nor is it a gratification unworthy of being now indulged, to view in anticipation, its future prosperity; when besides its intrinsic excellence, it shall, by the hoary honors of antiquity, collected about it, attract the love, command the veneration, and ensure the obedience of generations long to come.

The benefit of good precedents, and the danger of
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bad ones, must bear an exact proportion to this promptitude in human nature to be thus influenced; and by an obvious consequence, the importance of examining well all institutions, at the outset of the government, must correspond in degree with both. Like a young man just upon his entrance into life, whose character will be fixed by his first transactions; our inceptive government will carry down into futurity the habits, the tone, and the disposition which it may now receive. It is perhaps not difficult to say, which is the most arduous task, that of the convention who framed the constitution, or of the first legislatures, to whom it will appertain "to mature and perfect so compound a system, to liquidate the meaning of all the parts, and adjust them to each other in a harmonious and consistent whole." One thing, at least, is certain, that the latter will need every aid, which can be derived from a free intercourse with their constituents, and a liberal communication of sentiment from the thinking part of the people. Happily for America, at the present interesting crisis, no pestilential spirit of faction prevails among her citizens, to infect the springs of opinion; they in general mean the welfare of their country, although they may, in some instances, differ as to the means of securing it.

To the author of the "observations, &c." whose principles and arguments I shall oppose, in the ensuing pages, I give the most unqualified credit for purity of intentions, & for patriotic virtue. He, no doubt, believed as he wrote—and had it occurred to him, that in placing every state superior to the jurisdiction or controul of the supreme court of the union, he had left them without any constitutional umpire to decide their differences, but *arms*, or had rendered a civil war almost inevitable, whenever those differences should happen; he would have drawn his conclusion with reluctance, and perhaps have been impelled

impelled to test with a severer scrutiny, the arguments which induced it.

As I propose to hold the affirmative of the question, *whether a state can, in a direct way, be called upon in the supreme federal court, in answer to a plaint preferred against it by another party, plaintiff or complainant*—I will first adduce my reasons in its support; and then take notice of such adverse objections, in our author, as it may be proper to answer.

This being a constitutional question, our ideas upon it must be drawn from the *principles*, the *spirit*, the *tenor*, and the *words* of the charter itself. It is obvious, that the enquiry will have nothing to do with examples drawn from other nations, or from the political institutions of other countries. These might have had their weight with the framers of the constitution, when the point deliberated was what *it ought to be!* but they cannot safely be employed in conducting us to the knowledge of *what it is*. It is equally true, that the discussion will have no connection with all those theoretic difficulties, which ingenuity can figure and throw in as embarrassments. Were these to prevail, I fear that no part of the judicial system would be able to stand the test. Difficulties there no doubt will be, in the process of a system, so extensive, and so intricate; but I hope that few of them will turn out to be of the practical kind, and that even those will be tempered by the benign influence of legislative wisdom, and of popular acquiescence.

I have already observed, that this question must be answered by a reference, amongst other things, to the *principles* of the constitution. I know, that objections to this mode of resolving constitutional points, have been insisted on by many. They alledge, that it is dangerous to travel out of the letter of the charter itself. That once you let loose the exuberant powers of fancy and ingenuity, and suffer them to work upon the
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indefinite subjects of principles, spirit and implication; no person can foresee to what point their wild vagaries will conduct; nor where the boundaries can be drawn, at which they may be compelled to stop. That under the management of weak or wicked men, the constitution may become a monster, to devour the liberties of the people. Arguments of this kind have been elucidated by examples drawn from other countries; whose latitude of construction, under the guidance of subtilty, have made laws and constitutions to speak a language never contemplated by the makers—and even repugnant to their obvious intentions.

It cannot be denied that this has been true in a greater or less degree; but it is equally true, that the inconvenience results rather from the imperfection of human things, than from any innate defect of this mode of reasoning. That same imperfection, which renders the constructions of men variant and repugnant, incapacitates the human powers from framing, in the first instance, a set of laws or constitutions, so perfect, as to stand in no need of exposition and construction. Hence the safest method in framing a constitution, is to lay down the principles, and leave the construction of them to the impartial wisdom, and the sound sense of the government which is to administer it. Indeed, the objections' rightly considered, conclude rather against the *improvident or vicious use* of this power, than against the exercise of the power itself. All the arguments adduced, and all the topics employed, bear evidently on this point. They do not deny, that in order to understand the true meaning of laws, as well the innate principles of those laws, as the spirit and intent of the makers form a key to unlock that meaning; but they insist that men, through indiscretion or weakness are liable to misapprehend, or through faction, or wickedness to pervert those principles and that intention.

They conclude, that it is dangerous to trust to so enlarged a scope of construction. These scruples have their foundation in the excess of republican jealousy, rather than in solid reasoning. While men are to legislate without the aid of inspiration, much must be confided to their virtue, their wisdom, and their patriotism. To these we must trust in the end, let our constitution be framed as it may. If passion, prejudice, faction and interest protrude themselves into the system, or if persons of weak minds, or grasping ambition, are entrusted with the administration, the fault must be sought for elsewhere than in the constitution. Against these no constitution can effectually guard. While the people are not wanting to themselves, the errors or evils springing from these sources cannot be apprehended, or if they casually occur, may be corrected.

Assuming this, therefore, as one safe and proper ground in this enquiry; it will be necessary, first, to form an adequate notion of what the leading principles of the constitution are. This will involve a moment's consideration of the antecedent situation of the United States.

That was an association of thirteen distinct sovereignties, under the superintendence of a federal head, chiefly for the purposes of defence against foreign invasion. This federal head was Congress; and the charter was called a confederation.

I say, under the *superintendence* of a federal head, for Congress possessed little or no direct power or controul over the states, or the individuals who compose them. Their authority was chiefly *ad deliberandum*; and the result of their deliberation was to require aids and quotas, and to recommend the adoption of particular measures to the several states. They did indeed emit money; but the state legislatures passed laws for the support of it. They controuled and directed the operations of the army; but the state legislatures pas-
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sed laws for raising the men, and they appointed the field officers. Congress possessed, indeed, the exclusive power of making peace and war, of sending ambassadors, and of forming alliances; but the state legislatures might, at any time, even within the year, for which they were elected, displace the members of Congress, and send others in their stead. And this power almost amounted to a negative upon all their measures.*

Upon first view, an important distinction presents itself between a government, properly speaking, and a confederation. A *government* consists in a mutual compact between each individual person and the whole body of the people collectively; a *confederation or league*, in a mutual compact, between each individual *state* and the whole body of the *states* collectively. In the former each *person* contains within him one integral part of the sovereignty; in the latter each *state* contains an individual part of the sovereignty, as far as that sovereignty extends. In our confederation each state was represented in its sovereign capacity; and the laws acted upon the state in the form of requisition, for the performance of which its faith was pledged—but in a

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* This state of federal debility, has been very aptly depicted by the witty and ingenious author of the poem, intitled "M'Fingal."

- " For what's your Congress or its end?
- " A *power* t'advise and recommend;
- " To call for troops, adjust your quotas,
- " Yet not a soul is *bound* to notice.
- " And when for want no more in them lies
- " Than begging of your state assemblies,
- " Can utter oracles of dread
- " Like Friar Bacon's brazen head;
- " But should a faction e'er dispute them,
- " Hath ne'er an arm to execute them."

government the laws act upon each person in his individual capacity, and his obedience is enforced by penalties and punishments. In short, each state was a government, and all the states together composed a confederation.*

It may be demanded, is the present system then not a confederation? Is it true what has often been vehemently asserted by its opposers, that the constitution, adopted by the United States, concentrating within itself all the efficient power of America, has stripped the individual states of all their prerogatives, and reduced them to the contemptible standard of subordinate corporations?

The want of proper distinctions, has often caused the people to be alarmed with language like this. The term *corporations* has artfully, or injudiciously, been made use of, while in fact it has not the smallest application; and I shall shew in another place, that this idea has evidently

* This distinction will be fully supported by a recurrence to the articles of confederation, and by a comparison of them with the articles of the constitution. In the second article of the former, it is declared "that each state retains its sovereignty,"—and in article 3d, "The said states hereby severally enter into a firm league of friendship with each other." And in article 5th, "each state shall have one vote" in Congress. On the other hand the present constitution begins with these peculiar and emphatical words—"WE THE PEOPLE of the United States." It is farther observable, that the delegates to the former Congress were elected by the *legislatures* of the several states, but under the present system, one branch only, is so elected, while the most numerous branch is elected by *the people at large*; and that when assembled, they vote not *by states*, but in both branches each individual has one vote.

evidently had a share in leading our author into the doctrine he inculcates. What is a corporation? In the general it no doubt applies to the idea of government in as far as it is composed of organized bodies with privileges defined and duties enjoined. All governments may, in this view, be called by that name. But what is understood by the term in its ordinary sense; in that which is contemplated by those who employ it to represent the individual states, and to excite alarms about the general government? It is an inferior dependant body, vested with particular immunities for particular purposes; deriving its existence from the government, and liable to be disfranchised by that government, whenever its good pleasure shall so determine. Now do the state governments derive their existence from the federal? The reverse is more true—and if the term could ever apply to either, it would be to the federal government itself.

If it be a portion of power or authority, granted out from a pre-existent sovereignty, such is the federal government, which is a common stock of power, formed by contributions from the states, in their separate capacities, assembled in convention. If a corporation be that which is endowed with particular immunities or powers, leaving the residuum in the general fountain from whence they are drawn, still the argument holds; for whatever is not granted to the United States, is reserved in the states, in their separate and sovereign capacities*. If the ends and purposes of the endowment, form a part of the charter, and the same may become vacated by deviating widely from them, or by breaking over the jurisdiction line drawn therein, still the

* The constitution begins thus "all legislative powers *herein granted*, shall be vested in a Congress of "the United States."

the similitude holds ; for the ends and purposes of the federal constitution, are declared in its preamble, the lines and boundaries of its agency are drawn in the body of it ; and when both are deviated from, or grossly infringed, there remains inherent in the people, an ultimate right to correct or to overthrow the whole fabrick. But a part of our definition calls it an *inferior dependant* body. It is true, that this does not apply to the federal government ; for it is expressly declared, that the laws made by it pursuant to the constitution, shall be the supreme law of the land. But still it is essential to the validity of those laws that they be warranted by the constitution ; the same as all corporations are bound to adhere to the limits of their charter, or their acts will be void.

But as the terms *inferior* and *dependant* do not apply to the federal, as little do they apply to the state governments. Because they were pre-existent, not deriving their powers from the federal government ; but from the primary fountain of all legitimate power ; because they are the source from which, in part, the federal government is constantly recruited and supplied ; possessing the power of giving existence to one of the branches of it. And lastly, because in all cases, and with reference to all objects, concerning the unalienated residuum of their power, their authority is as full, as energetic, and as independant as it was before the federal government was called into existence. For it must readily occur to every one, that this question is not to be estimated by a comparative view of the enumerated instances in which the power of the one government transcends that of the other ; but by ascertaining the fact whether all the powers held by the one, are, or can be constitutionally subject to the controul or interference of the other. This would, strictly speaking, denominate the state governments *inferior* and *dependant* bodies. But it will appear, upon the
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most critical investigation of the powers, that the state and the federal governments are co-ordinate in some cases, and respectively supreme in others; the state governments are no more subject, within their respective spheres to the general authority, than the general government is subject to them in its own sphere. Like the principle of gravitation in the planetary system—each orb has its proportionable agency in fixing the common centre, round which each of them, and the sun himself, constantly revolves. And though he acts upon each by his attractive power, he is re-acted upon by each in reciprocal proportion; for should the smallest member in the ethereal vortex be annihilated, his enormous bulk would feel the shock, and suddenly fly into a different orbit. Still, however, he is the grand origin and supreme dispenser of light and heat, upon whom they all are dependent, and which none of them can impart, but by reflecting the rays borrowed from him. But to return—we may exemplify this comparative view of the different powers in the following manner. The state governments, for instance, may regulate the modification, the alienation, and the distribution of real and personal property—here they are paramount and independent, for Congress cannot interfere. They may also impose taxes on lands, on professions and personal property; so may Congress—and here the two governments are co-ordinate or concurrent. The result is, that of the several ingredients which go to make up the idea of a corporation, the most apply to the federal government; and that those which do not apply to it have little or no application to either. These reflections, which might be much dilated upon, and abundantly exemplified, must be sufficient to evince the impropriety of applying the term *corporation* to the state governments; and to compose the fears and jealousies which have been excited by the artful, or the imprudent use of it in that sense. At any rate, it requires but a moments thought, to be sensible how groundless

is the apprehension, that the state governments " may " be made a cypher, or even eventually rooted out, seeing that they possess the residuum of rights and powers not delegated to the federal government; among which the most precious of all rights, the regulation of property, and the common intercourse of business are included—seeing, also, that on them, like a prop, the federal fabrick depends; which in crushing them, must itself be prostrated, and mix in the common mass of ruins.

But to resume our distinction between a confederation and a government. We have seen, that anterior to the present system, the compact between the United States was but little more than a league offensive and defensive; and consequently the delegates, or deputies, who composed the Congress, partook much of the nature of *ambassadors* (and very often with special instructions) from the several sovereign states. That Congress possessed but little authority, that little subject to innumerable checks, and contraventions from the different states—that their ordinances were without sanction, until it was conferred by a ratifying law from the several legislatures—that when completed there was no federal executive to enforce them—and that they always had an aspect to the several states, in their political capacity, without the power of acting upon the people as individuals.

It is far otherwise with a government. It is essential to the idea of one, that it possess legislative, executive, judicial, and I will add military powers. Its powers and resources must be adequate to its exigencies, and commensurate with its ends. It must be well assured of the permanency of its own existence, capable of enforcing its laws, of distributing justice, and of providing for the public peace and public defence. It will be found, that in order to attain these ends, the laws of the government must act upon the people, and upon every individual member whereof the body politic

tic is composed. Its courts must be constituted with powers to enforce the individual observance of the laws, to punish aggressions in each individual, and to adjudge and levy the penalties which such may incur. It would be mispending time to enter into a demonstration of these truths ; truths which have forced themselves upon the conviction of every one, which have been fully taught by the negative experience of these states under our former confederation, and which have been recognized and adopted by the framers of the present constitution. Such powers, it will therefore be found, the federal government does now possess.

It results from this short comparison between a confederation and a government, and an application of it to our present state, that the constitution of the United States is exclusively neither the one nor the other, but a composition of both.

Like the old confederation, the *states* are represented by delegates chosen by their respective legislatures ; and they form one branch of the federal legislature in the senate. Like an original and simple government, the *people* are represented by delegates biennially chosen by them ; and they form the other branch of the federal legislature in the house of representatives. In those two branches thus constituted, centre all the legislative powers granted in the constitution. They are reciprocally independent of each other, but are endowed with the same privileges, and have co-ordinate weight in the government, with only a few exceptions. As the house of representatives comes purely from the *people*, who are to pay, they only can originate a law imposing a tax upon the citizens. They also have the peculiar privilege, in case the ballots returned from the several states, for president, shall not determine or properly designate the person, to choose the president from among the names returned ; but here they step aside for a moment, for their strong characteristic and vote by states—and that for reasons too obvious to need mentioning

mentioning. In a similar case, the senate choose the vice-president; who is to be president of their own body.

The senate, on the other hand, has a negative upon the appointment of ambassadors, all superior officers of the government, and a voice in the making of all treaties. They form the court for the trial of impeachments, preferred by the house of representatives; to whom, as the grand inquest on the behalf of the people, the right of impeachment exclusively belongs. But after these peculiar exceptions are marked, the whole power resides jointly in both houses; and the acts separately deliberated upon, and passed by them pursuant to the constitution, become the law of the land. Thus constituted and thus balanced, we may say that the *states*, in their political capacities, deliberate in one house, and the *people* in the other. In the senate, the rights of the state governments, so essential to their own existence, and to the peace and harmony of the whole, will be peculiarly consulted and protected; in the house of representatives the rights of the *people*, whom they represent, will always be the pole-star of their deliberations.

And here one might pause for a moment, to observe and to admire this skilful combination of principles; as new in its nature as it is wise and profound. *A confederation of the states, and a consolidation of the people.* Thirteen sovereignties made to blend and harmonize in one sovereign unity—or in other words, leaving in the states severally, their favourite independence, as to all the objects, about which they ought to cultivate any great solicitude; and clothing the general government with complete sovereignty, as to all the objects which the general weal requires, should be placed under their agency. And all this without engendering the political monster of *imperium in imperio*. Warned by the fruitful examples of the Grecian confederacies, the framers

framers of the government have steered clear of those sources of anarchy, which subsist in a mere confederation of unequal states; while on the other hand, aware of the genius of the people of America, they have cautiously avoided intrenching too much upon the cherished doctrine of state independence. By drawing the power from the primary fountain, the people, they have infused into the system all the vigor which is necessary for its ends; while that power happily tempered by defining the objects, and skilfully distributed between the states, and the people represented in their respective branches, leaves the one nothing to fear, and the other nothing to complain of.

In this wise and judicious adjustment, we shall find the laws strong enough to embrace their objects; and the constitution strong enough to secure and protect its members. Had the convention unilluminated with the happy idea of this *compound*, gone on the one hand into the formation of a pure government, as we have already described it, the states would have been extinguished; and the numerous representatives of the large districts, or territories, or provinces, or by whatever name they might have been called, would have absorbed the interests of the smaller. Had they persisted in the other extreme of a mere confederation, anarchy amongst the members must soon have succeeded; and the more powerful states, like Athens and Lacedæmon, would have compelled the smaller, or weaker, to subscribe to their laws, under the standard of victory.

But to return to our argument—it results from what has already been observed, that the several states, in their political capacities, (or the people for them) in adopting the present constitution, parted with a considerable degree of that pre-existent sovereignty, with which they were invested; and as to all the objects enumerated in that charter, threw it into a common mass;

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which forms the federal supremacy; making the several state governments, as well as the people, subject to its legislation. It has before been observed, that this is not a division and divestiture of the sovereignty itself considered as such; for that would have been as impossible, as that one and the same thing might occupy two places at one and the same time. Sovereignty, itself, is necessarily indivisible. If the attempt should be made to divide it between two bodies, not in concert, it would be fruitless; for each must be co-equal, or one superior. In the former case it is evident, that there could be no sovereignty at all; and in the latter that it takes its station in one body. But the objects of sovereignty may be, and are divided. The distribution of the powers seems to contemplate these three variations. 1. With regard to some particular objects, the federal power is original, exclusive and supreme. 2. The same may be said of the state powers as to some other objects. 3. The power is co-equal and concurrent between the two, as to some other objects. The sovereignty of the individual states, is as complete in the second class, as that of the United States is in the first. With regard to the third, it is evident that there is no definite supremacy in either, but as they may alternately occupy the objects of it. Thus the United States are sovereign as to peace and war, alliances, coinage, the making uniform rules of naturalization, and the like, each state is sovereign as to all the objects of its internal police; and concurrent with the federal government, as to all the forms of direct taxation.

We are brought to this conclusion, that the *states*, being represented as well as the people, form an integral part of that mixed system which we have adopted. This is the great principle that runs through the constitution, and must be adhered to for the conducting of our enquiries as to constitutional points. It teaches us that the *states*, as well as the *people*, are made the sub-
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jects of *federal legislation*. Now it is a truth, too evident, and too generally recognized to need demonstration, that in all governments, the judicial department must be co-extensive with the legislative. What the one commands, the other must decree the obedience of, and the executive must enforce it. All constitutional acts of power, proceeding from the executive and judicial, have as much legal validity, and import as much obligation, as those proceeding from the legislative department. Thus *treaties* made by the one, and no doubt solemn decisions or adjudications by the other, become the supreme law of the land. Having now developed, in some degree, the principles of our constitution, so far as they immediately relate to the point in view; and having seen those principles display themselves in a partial consolidation of the people of America, into a national government, and in a partial association of the states in a federal compact, distributed, defined and balanced in such a way as to effect the *good purposes* of each, and to exclude the *evil tendencies* of both; preserving and guaranteeing to the several states their republican forms, and leaving them in possession of their sovereignty as to all state objects; and having been brought thereby to the unavoidable conclusion, that the states themselves, (and the people in them as individuals, are subjected to the supremacy and controul of the federal government, as well its legislative as its executive and judicial capacities—let us now attend to the other *sources* from whence we are to draw materials for our discussion and decision of this important question; and see whether *they* will support the inferences which have already been made.

We have also enumerated the *spirit* and *tenor* of the charter of our government. Though these two may at first appear to import so nearly the same thing, as to render the discussion of the one superceded by a consideration of the other; yet upon a nearer view they may be sometimes found to possess features, which occur,

mark a precise difference—and sometimes instances occur, where inaccuracy, or omission of expression, has created repugnancy between them. When this happens, a question necessarily arises, which of the two ought to take the preference, and controul the decision. The spirit of a law consists in the causes, the motives, the views, and the ends of its institution. The first and second refer to the antecedent state of things, the two last to the mode and extent in which the remedy should operate. With these the tenor of the law ought to correspond; and should it in any instance vary, the presumption ought to be, that this variation was not intended: and it will, of consequence, receive such a construction, as will square it with the spirit, without actually *contradicting* the words. This might perhaps be exemplified in the article of the constitution, which gives the power “to establish an uniform *rule* of naturalization.” Whether this is exclusive, and divests the states of all power on this head might be the question; or whether it does not leave in them a residuary power to make laws for that purpose, correspondent to the *rule* that Congress may adopt—so that an alien naturalized under the law would acquire, as completely in that state, the rights of citizenship, as though he had received the privilege in the mode that might be established by Congress. The learned and eloquent author of the Federalist has concluded, that this power must be exclusive; because the words are “an *uniform* “rule”—and he argues that the rule might not be uniform if every state had a right to pass laws on the subject. But this is obviated, by supposing a *rule* to be once fixed by Congress, and the states then passing laws conformably to it. For it is to be noted that occasions may exist, when the states may be desirous of having a mode of their own in conferring the privilege; and instances when foreigners may be desirous of drawing it from a particular state. There seems to be nothing in the *tenor* of the clause, which forbids
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it under the modifications above. But what says the *spirit* of the constitution? We have already seen, that it is the spirit of the constitution to consolidate the people of America into one great society of citizens; to make citizenship of the United States, the political characteristic of each individual, and in it to absorb state-citizenship. Hence citizens of each state are entitled to all the privileges and immunities of citizens in the several states. Now if one state, by conferring on a foreigner, citizenship of that state, in the same act would make him also a citizen of the United States, and by necessary consequence, a citizen of each state—here the *spirit* of the constitution unfolds itself, and operates to *prohibit* what seemed to be *permitted* by the *tenor* of the clause. It is clearly repugnant to the spirit, that any one state should possess the power of making citizens in another state; which nevertheless would be the case indirectly, if it possessed the power of making them in itself. They no doubt may use their option in conferring *particular privileges* upon aliens, within their own state—may even enable them to hold lands—but cannot *naturalize* them; because in so doing they create not merely a citizen of their own state, but a citizen of the United States and a citizen of every individual state in the union. The causes then, or necessities, which will lead us also to the motives and views, that give birth to the present government, consisted in a general relaxation of public and private principle throughout the states; impotency in the federal head, and an alarming selfishness among the members, accompanied with a disregard of public and private rights. The licentiousness of a revolution, and the corrupt effects of a war, had debased the morals of men, and weakened the force of public and private virtue. This spirit was displayed in a variety of interfering acts between debtor and creditor; and it produced the long and shameful list of paper money laws, tender laws, laws curtailing the interest due upon contracts, and laws preventing

or postponing the recovery of debts. The conduct of the individual states, with regard to their creditors, was but the counter part of what was authorised and practised in private life. Their faith had long been extinguished—no contract regarded, no debt paid, no contract complied with. In this decay of public and private justice, the states among each other, and the citizens among themselves, were verging fast to dissolution and anarchy—while from without we presented ourselves a divided people, ready to fall a prey to the first invader. Alarmed at their situation, like a person suddenly awaking from a sleep, in which he had been walking with heedless steps upon the brink of a precipice, the people of America came to an awful stand. Happily for them, and for posterity, the juncture was improved by forming a constitution, for the purpose of a “more perfect union, for *the establishment of justice,* “for the *insuring domestic tranquillity,* for the providing “for the common defence, for the promoting of the “general welfare, and for the securing of the blessings of liberty to themselves and their posterity.”

The re-establishment of *justice*, the want of which was one of the principal misfortunes complained of, formed therefore, a part of the *spirit* which produced the present government. How far did this spirit extend? Was it for the purpose merely of establishing private justice between man and man? Or did it extend to the establishment also of public justice from the states and their governments? Was it a spirit, which consented to leave open all the avenues of public fraud and injustice, and check the prevalence of private fraud only. Such a spirit would have been inconsistent and absurd! But the *tenor* of the whole constitution will answer: “No state shall coin money; emit bills of credit; “make any thing but gold and silver a tender in payment of debts, pass any bills of attainder, ex post
“facto

“ facta law, or law impairing the obligation of contracts.”

Every one of these direct prohibitions, which have no other object than the suppression of flagrant injustice, lie immediately on the states themselves in their political capacity ; and have no reference to the individuals who compose them. Ingenuity itself cannot give them such an application. In truth what have we gained by this boasted constitution ; in what do its virtues consist, why should we esteem it, to what end support it, if it is not strong enough to guard one part of the society against the fraud and injustice of the other part ; as well as every individual, in each society, from the injustice of every other individual in the same or any other society. Justice is the end of government ; it is the end of civil society. Will injustice be the less odious or the less destructive because it is received from a state instead of an individual ; because it is dealt out in the abused forms of civil administration, rather than brought upon us by the practices of private chicanery ? Can it be believed that the constitution setting out with that important declaration of its ends meant only to vapour before the imaginations of men, and leave at last the most formidable source of injustice where it found it ; and the people who were to sustain its effects to the pitiable remedy of *petitioning* to the courtesy of the state governments for one of the most sacred and precious rights which society can confer ? But we are told (Observations &c. page 37) that each state is sovereign ; and that “ a sovereign state can never consent to become a party before a *foreign* tribunal*.” If they cannot consent, it is clear they cannot be compelled. But what is implied in this sovereignty which each state possesses ? Is it a sovereign power to do
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* I presume the author cannot intend to apply the word *foreign* to the government of the United States.

as they please? But the constitution contains both positive and negative injunctions upon every state.

Surely, as far as those injunctions extend, the States are not *sovereign*, but are *subordinate*. Do these injunctions lie on the people only! The constitution explicitly declares the contrary. But says our author, though the interdictions lie on the states, the laws act upon the people; and if they obey an un-constitutional act they will incur the punishment of the federal government. Our author has carried this idea so far, as to suppose, that if one state should declare war of its own accord, the general government would have no other prohibitory method, but to hang the citizens, who should acquiesce, for treason and murder. Admitting however this direful doctrine, in all its latitude, we still must own, that if the *people* of the states, are so strongly bound by a federal law, the *government* of the state is bound with them. To deny this would be at once to establish *imperium in imperio*; and to contend for the absurdity of *equal sovereignty*—an idea that cannot be expressed without a solecism in speech. If then the government be bound, whenever the people are bound, we must confess, that as well the government, as the people, becomes an object of federal legislation. They can, therefore, constitutionally commend or interdict the performance of an act by the state; provided the law, which is made, for the purpose, be pursuant to, (that is confined to the objects contained in) the constitution. It is no objection to ask how a state shall be punished for disobedience? Once latitude is indulged in framing suppositions of state delinquency—it may be asked how they shall be compelled to appoint electors for president; to elect senators, and the like delinquencies; each of which would threaten the dissolution of the government itself: but each of which involves an un-constitutional supposition. As well might the constitution have prescribed a mode for trying a whole state for high treason, and have

have declared the punishment—as to have noticed cases of this kind.

But this is in some degree digressing from the point immediately before us. It must be clear, that in establishing justice, the constitution intended *public* as well as *private* justice; more especially as we find in the same clause another declared object, viz. “to ensure domestic tranquility.” How can domestic tranquility be preserved or ensured, if the constitution provides no standard, but *arms*, to decide the differences between two states, or between one state and the citizens of another? I will suppose for sake of illustration, that a difference has arisen between two states, concerning a portion of territory; one state demands it of the other, who persisting in her claim, refuses to give it up. Who shall decide between them? Not the federal government, says our author, because one sovereign state cannot consent to be subjected to a foreign tribunal. The appeal lies *to arms*; and that under a constitution, which professes to ensure domestic tranquility! It must surely be considered as a most singular method of preserving domestic tranquility, by instituting the *sword* as the constitutional umpire of disputes*. I rather suspect, that this method being *dreaded*; and its approach discovered, gave the most powerful stimulus to the people of America to form the present government. The spirit of discord was going forth in its might—it threatened soon to produce dissensions and disputes—the people saw with terror, that there existed no common tribunal, but that of *arms*, to which an appeal could be made, and from which a decision could be obtained. It is true, the old federal compact contained an illy defined provision; but that, with all the rest of its provisions, having crumbled into feeble atoms, scarcely capable of sustaining the empty pageantry of its tottering forms, made it idle to repose

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* *vid.* “observations, &c.” page 37.

any hope in a remedy from its interference. Nevertheless, it may be remarked by the way, that even under that confederation, which reserved to each state, in the most unqualified manner, her original sovereignty, a court was provided, and in one instance was actually constituted to decide a controversy between two states, relating to territory. Upon full hearing of both parties they decided the right; and the decision was acquiesced in by the high-spirited state against whom it was given. Surely a common tribunal of justice is not more inconsistent with our present partial consolidation, than with a mere confederacy. And yet in constituting it, even under her former confederacy, America did not act without precedent, both in ancient and modern times. I will mention but one of each. The Amphyctionic and the Germanic confederacy, both included in their system a federal judiciary; to which the political members were amenable, and which took cognizance of and decided their differences.—It is true, that the practical process of the institution in both, did not fulfil the plausibility of the theory; but this arose, not from any absurdity in the thing itself, but from the feebleness of the ties which bound the compact—an experience of which, in America, has induced her to abrogate, as visionary, and ineffectual, a mere confederacy, and to introduce to a certain extent, the more effectual principle of consolidation.

I cite these instances, and employ this reasoning, not to evince the expediency of a common judiciary among confederated states; but from the possibility, proved by the fact, of its existence among such, to infer (and I think the inference a fair one) that it is not inconsistent with the principles of our government, which to a confederation of the states superadds a consolidation of the people.

I think, that by this time, I am warranted in the conclusion,

conclusion that as well the principles, as the spirit and tenor of our federal government, favor the position, that the states, in their collective or political capacity, are and ought to be amenable to the federal judiciary; where they ought to be decreed to do justice. At the suit of what persons or bodies, and under what modifications, is altogether a distinct enquiry. If the express words of the constitution favor the same thing, I should suppose the conclusion to be irresistible. We will lastly proceed therefore to examine *the words*.

The 2d section of article 3. is in these words—"The judicial power shall extend to all cases, in law and equity, arising under this constitution, the laws of the United States, and treaties made, or which shall be made, under their authority; to all cases affecting ambassadors, other public ministers and consuls; to all cases of admiralty and maritime jurisdiction; to controversies to which the United States shall be a party; to controversies between two or more states, between a state and citizens of another state, between citizens of different states, between citizens of the same state, claiming lands under grants of different states, and between a state, or the citizens thereof, and foreign states, citizens or subjects." It goes on—"In all cases affecting ambassadors, other public ministers and consuls, and those in which a state shall be a party, the supreme court shall have original jurisdiction."

These are the clauses, under which the question agitated arises—a question, which I will venture to say, never occurred to any man upon their first perusal. Without tautology, or circumlocution, the convention have expressed their meaning in the most unequivocal manner. A person must be indebted for his doubts, solely to the subtil operations of his own mind; excited by a predetermination, if possible, not to believe.

The obvious meaning of the words separately, he must

must strain through close refiners; the import of each branch must be warped by arbitrary distinctions, or forced into narrower limits, by far fetched principles, protracted beyond their natural limits; and the spirit of the whole clause must be subverted from the ample and national provisions it intended, and confined to a paltry operation upon special cases. Such a person lays himself under the necessity of arraigning the convention under a direct charge of inaccuracy and obscurity. He must say that they have left their meaning at least doubtful to *ordinary* men; and so expressed that it cannot be attained, but by a painful process of subtil disquisition. In fact, the meaning can scarcely be said to be doubtful to *ordinary readers*; for *they* immediately apprehend, from the words, that a state is as liable to be sued, in the federal court, as an individual. But our author contends, that a state cannot be made a party *defendant* except by voluntarily entering herself as a co-defendant with some of her citizens, who have been cited thither, and who claim, or justify under her. That where, in obedience to her laws, or in reliance upon her grants, or some of her engagements, he becomes obnoxious to the federal judiciary, the state may condescend to compassionate his case, and to present herself before the supreme tribunal, for his countenance and support. If she *may* do this, she *may* also neglect it; and abandon the unfortunate litigant to the fate that may await him. If therefore, one state have a cause of controversy against another state, she may *arrest* a single individual of that state—and he must be compelled to stand the shock; unless his own state *think proper* to embark in the cause, and lend him her support. In so unequal a conflict who could stand? What citizen, whose private fortune would not be ruined? A person inhabiting an acre of disputed territory, might be called to support the right of the state to the whole, or be mulct in an action of trespass, and lose his freehold

hold besides. He might invoke the justice of his own state to lend her aid; but she might choose to sleep, and his suppliant hands would be spread in vain. If he should object before the tribunal, that the state *ought to be called in* to answer for her own territory—no, he is told, your state is above the reach of this court, and you must stand alone. If this is true, let our author demand of the convention, and it is a question which he cannot refrain from asking, *why did you in meaning so little declare so much?* While you only intended, that a state should have the privilege of vouching for her own citizens in the federal court, and that at her own pleasure, you have expressed yourselves so inaccurately, as to almost give the impression, that a state may be *impleaded* as a party. Nay, so great a bias have you given, the words towards this, that their most obvious import is that which you never meant; while your real meaning lies so deep, that none but a metaphysician can dive and bring it up.

To this reproach, the convention would probably reply, none but a metaphysician could possibly misconstrue our meaning—our meaning is plain; but it is often his part to begin upon that which is plain, and leave it, at last, perplexed in doubt and and uncertainty. True philosophy is always willing to *begin* in doubt; but it is a point at which it seldom leaves off.

But let us now take a more minute survey of the words; for they are the surest and safest standard to resort to. Any construction, which absolutely contradicts them must be erroneous.

They do not pretend to organize the court, much less to prescribe its proceedings. They set out with defining the judicial *power*—their object is to mark its extent. Without commenting upon every branch of this comprehensive sentence, I shall only select those which appear to have a reference to the argument under discussion.

7. "It shall extend to all cases arising under this constitution, and the laws of the United States." I must be under a great mistake if it has not already been evinced, that *by this constitution* every state in the union, *as a state*, is subject to the *laws of the United States*.

They may, therefore, pass laws, directly obligatory upon each state. If under one of those laws, so passed, a case should arise; that case, and necessarily the *state*, relative to which it should arise, would, from the very terms of this clause, be subject to the judicial power. It would be absurd to say, that a law made expressly to bind a state, in its collective capacity, must take its operation only upon the individuals. It no doubt would bind the individuals also—by the same rule, that as a thing is to the whole, so it is to all the parts. So also a law made, with a direct view to the people, would bind the whole state—by the same rule, that as a thing is to all the parts, so it is to the whole. The result is, that in the former case, the law would have an obligatory effect upon all the people, because it directly bound the whole state; in the latter it would have the same effect upon the state, because it directly bound all the people. But it is not to be inferred from hence, that the cases are exactly similar—because the positive operation of the law may be upon the one, with only a prohibitory negative upon the other. Thus if the state is commanded to do a particular act, the people are prohibited from counteracting the injunction of the law; and vice versa, where the people are commanded, the state is prohibited from doing any thing that may render the command abortive. These principles are so plain, that it would be unnecessarily tedious to illustrate them by examples. However, were there nothing else in the constitution, but the words we have cited, supported as they are by the above principles and reasoning, I should assert the affirmative of the question.

tion, under discussion, with less confidence. They are well employed, as auxiliary arguments, but perhaps, could not stand firmly alone. After describing what *raises* the judicial power shall take cognizance of; it proceeds to determine what *controversies* shall appertain to its jurisdiction—thus,

2. “ To *controversies*, to which the United States shall be a party; to controversies *between* two or more “ states.” Here the variation of the phraseology leads directly to an important distinction, which governs and elucidates the sense of the clause. Why are *different words* used in speaking of the United States, from those used, when speaking of the individual states? I shall ask in another place, why are the *same words* used in speaking of the states, with those, which speak of citizens of different states? But to answer the first question. The convention knew that the United States could never be sued in their own court. The principles built upon, by our author, had their full operation—that a sovereign state could never be called to answer in its own tribunals. But the United States may *sue*; may call others to answer, and therefore might, in that way be a party. They may prosecute criminally or civilly. In either case they are a party. And the cognizance of causes, in which they prosecute, as well as those in which they may, though not as a party, yet incidentally be concerned, appertains with obvious fitness to the federal courts. Why did not the clause go on, and say, “ to which a *state* shall be a party ?” Because, it would by using the same words, have seemed to put each state upon the same footing with the United States; and to have given birth to the doctrine, that a state can be no otherwise a party than as the United States—that is a party plaintiff. As this was not intended, they *varied the phraseology* from that which spoke of the United States, to that which speaks of the states themselves, and (what is very important in this enquiry) of

of individuals. It accordingly adds "to controversies between two or more states." Now let me ask, how a controversy, in a tribunal can subsist *between two states*, unless one may be plaintiff or complainant, and the other defendant? Will it be satisfactory, or even plausible, to say that a citizen of one state, may implead the citizen of another state in that court, and the states may be reciprocally interested in the subject of litigation, and therefore step forth to the support of it, and thus become a party? I alledge, that this would not technically make either of them a party. At most they would come under the denomination of *privies*. And could this be called, in the sense of the constitution, a controversy between two states? No it would be a controversy between two individuals, supported and encouraged by two states. It would have been a misfortune, indeed, if the constitution had authorised every individual in each state, to bring forward into litigation, the interests of that state, when and how he pleased—or to have authorised any two, and of course every two colluding individuals, in two neighbouring states, to harrass the repose of either, whenever they pleased, by perhaps a fictitious litigation touching its territory or its interests, in the federal court. Who can tell how far a licence of this kind might be extended; and what consequences it might produce? What shield or guard would the states have against the combinations of individuals? Each state must have an agent constantly watching in the federal courts, to give notice of those discussions—to prevent her interests from passing without her knowledge *in rem judicatam*. Or each state must interdict her citizens from bringing suits in the federal court, until licenced by some state tribunal, who must previously have examined the grounds. But such an institution, would be putting it in the power of the state to negative the cognizance of the federal court; and to strip her citizens of a right conferred upon them

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by the federal constitution, to sue there? Or shall the federal court, whenever the rights of any state come into litigation, suspend proceedings, and call the state to come in and defend? This would be at once making the state a party; the very thing disputed. For what is to be done if the state disobey the admonition? The court proceeds to judgment. That is a judgment *by default*—the usual and ordinary proceeding where a party refuses to appear; and the threatened penalty which enforces the appearance. Thus we find, that even by indulging the construction contended for, we either involve the system into inextricable difficulties, or bring it by the natural course of things into the very same situation which the constitution evidently intends. What occasion was there then for the framers to take the circuitous way? If several balls lie in a range, a blow given to the first, in the series, will as necessarily impinge on the last, as though that last had received the blow in the first instance. To make a state a party in the first instance, in a direct way, is far more simple and in every view more eligible than by circumvention, or indirectly drawing into discussion their essential interests, and that at the instance of every party, who gives colour to his pretences, to keep them always in a painful state of vigilance, or always acting on the defensive. But I resort to the words; and until it is shewn how a controversy can subsist between *two states*, without the one being plaintiff and the other defendant, shall think myself well warranted in concluding, that by virtue of those words, the one may sue and implead the other. Should this need any confirmation, I will observe

3. That the judicial power extends to “controversies between a *state* and *citizens* of another state.” Our author would contend that a state can no otherwise be made a party, than by the indirect means of some of her citizens being impleaded, relative to mat-

ters concerning her interests at large. But why are the two cases separated in the constitution—do both mean the same thing? If so, it was a piece of idle tautology. In the clause immediately preceding, we have the case of a controversy between *two states*—here between a state and *citizens of another state*. But says our author, this only intends that a state may *sue* the citizens of another state, but cannot be sued by them. To this it is a sufficient answer to say, that rights and remedies are always reciprocal. It is an odious doctrine, that a state can compel justice from the citizens of a neighbouring state; but may withhold it from them during her pleasure. This absurdity must surely have sprung from the excess of theoretic scruple, or a blindly devoted homage to the idol of state sovereignty. It wages war with that divine principle, which lies at the foundation of the constitution, of establishing justice and ensuring domestic tranquility.

4. It extends to “controversies between citizens of different states, and between citizens of the same state, claiming lands, under grants from different states.” Here, in this last clause, the very case is expressly provided for *by itself*, which our author contends to be a general principle running through the whole—that is where state rights may be involved in private litigations. Why need this have been expressed, if it were so violently implied in all the rest. The very expressing of it is a palpable evidence that it was neither implied nor intended, in the antecedent cases.

5. It cannot be remarked, without adding considerable weight in the scale of this argument, that the same phraseology is used in describing the jurisdiction when it speaks of a state, as when private persons are the subject; from whence I infer, that private persons and states stand on the same footing in the federal courts. The United States being a party, is first spoken of by itself—all the rest of the cases then follow each other,

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connected by a constant copulative, understood and referring to one common antecedent, "*controversies*" standing at the head of them all.

States and individuals promiscuously spoken of, and evidently acquiring reciprocal remedies against each other. Now to say after all this, that the one is intended only to possess the privilege of *suing*, without being *subjected to suits*, is surely resorting to an arbitrary or capricious construction in violation of the arrangement, the spirit, the words, and plain import of the clause.

The sum and substance then of all the foregoing arguments (which I flatter myself have been satisfactorily elucidated) is shortly this—that under our present constitution, the states have parted with that complete local sovereignty, which they antecedently possessed, and as to all national objects, have vested it in the federal government; the principles of which subject each state, and consolidate the individuals of all, into a national government of a mixed form; which government possesses legislative, executive, and judicial powers commensurate with the whole, and in their spheres supreme and independent. That each state, as such, and each individual in every state, is subject to be acted upon by these powers in their constitutional forms; the power of the former to uncontrollable legislation, and of the latter to unqualified obedience, being confined to those objects, which fall under that residuary sovereignty, not parted with to the general government. That the *spirit* and *tenor* of the constitution, both conspire to represent the states as amenable to the fountain of *justice*, which it was a primary object to establish; and *that* for the sake of ensuring that *domestic tranquillity*, promoting that *general welfare*, and securing those *blessings of liberty* of which it gives such flattering prospects. And lastly, that the *words* of the particular clause, which constitutes the judicial power, with obvious fitness to the principles, the spirit and tenor, expressly declare, that the judicial shall have cognizance, not only of cases,

sea, where the United States may be a party, but of all *controversies between* two or more states, a state and citizens of another state, citizens of different states, and of the same state, claiming lands under different states. The import, spirit and necessary construction of which words are, that as on the one hand; every state may apply to this tribunal for justice against any state, any individual, or any corporate body, in the nation; so they in their turns possessing reciprocal rights, may appeal to this great and paramount source, and obtain *justice* when it is unconstitutionally withheld by any state; on every of which its obligations are equally binding.

According to the method proposed, I am now to answer such objections of our author, as appear to militate against the doctrine I have contended for. This discussion however, has run into such a length already, that the fear of prolixity, with the circumstances of several of the most weighty objections having necessarily fallen into the argument already, will induce me to circumscribe this part of the plan. Writing for the sake of truth, and not of controversy, I should disdain the artifices of the mere critic, or party writer, who plumes himself upon collating the different parts of a work, and sifting out trivial inaccuracies of thought or expression, or magnifying apparent contradictions, or bestowing odious epithets upon the work or the author. I will endeavor to state candidly, and as precisely as possible, the substance of the arguments used by our author, with my reasons for differing from him in his principles or his application of them; and the reader must then decide between us.

1. He cites the letter addressed by the convention to Congress, and supposing them to be "open and undisguised in their address and unequivocal in their language" proceeds to argue upon what is there expressed; and from thence to draw his construction of the

the constitution which it accompanied. This letter, is no part of the instrument by which this government was erected—it possesses no force and forms no authority. Had the language of it been utterly repugnant to the constitution, it would not have invalidated, and if perfectly consistent, would have added no weight to one single article or provision of it. I object to this mode of argument, not because the tenor of that letter, rightly considered, militates in the smallest degree, with the construction I contend for, but because it is taking a latitude, which in such momentous questions it would be dangerous to indulge.

2. Our author (in page 27) asserts, “that there is no mean between a corporation and a sovereign government. Every body politic, must in its nature be a sovereign power, or a mere corporation; as every man, in a civil society, must be a sovereign or a subject.”

He then proceeds to shew, that the several states must be sovereign; because they contain local citizenship, because treason against the several states is mentioned, (which he alledges involves the idea of a *sovereign power* against whom it may be committed) and because Congress are expressly invested with the exclusive legislation over the ten miles square; which would be unnecessary, if they possessed, and the state were divested of, the general sovereignty.

By the sentence above cited, “that every body-politic must be a sovereign power or a mere corporation”; he cannot avoid the inference that every state in the union is a mere corporation—inasmuch as the constitution of the United States, and the laws and treaties which may be made under it are *the supreme law of the land*. This necessarily implies sovereignty; and yet I flatter myself that I have already sufficiently shewn the inapplicability of the term *corporation* to the individual states, by a comparison of the ingredients which enter

enter into the formation of such a body. We there saw that of those ingredients, the greater part applied rather to the national than to the state governments; and that such as did not apply to it had no relation to either. It could not, indeed, be pretended that the *federal government* comes under that denomination; but by shewing that it comes *nearest to it*, the impropriety of applying it to the states becomes manifest. If this reasoning be just, we shall find in each of the states, that very *mean* between a corporation and a sovereign government, which our author denies potentially to exist. It is clear they are not corporations; equally so that they are not the *sovereign government*; and yet they are bodies politic. If the distinction of *citizenship* constitute a sovereign government—the United States possess that character—each representative for seven years, and each senator for nine years, must have been “a citizen of the *United States*.” If the being capable of having *treason* committed against it be fixed upon as a criterion of sovereignty—still the United States possess it.—Art. 3. Sect. 3. “Treason against the United States shall consist only in levying war against them, or in adhering to their enemies, giving them aid and comfort.” As to exclusive legislation, it proves nothing either way. Now we find that the *criteria* of sovereignty fixed on by our author, apply both to the United States, and to each individual state; and this would make them reciprocally the deposit of the sovereignty; which he very justly declares (in page 22) to be a contradiction in terms. The difficulties arising on this question, I trust, I have already reconciled by employing the distinction as to the *objects* of sovereignty. The states are sovereign within their sphere; the United States within theirs, with this difference, that where the latter are sovereign, the former are subordinate.

It is manifest, from what has been already observed, that the use of the term *corporation*, with reference to
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the State governments, is altogether arbitrary and unwarranted, the anxiety or alarm which it has created, void of foundation, and the analogical inferences drawn by our author, unjust and inconclusive. Hence it will be immaterial to this argument, whether a corporation can be sued, in what cases, by what process, to what end, and with what effect.

3. In page 32. He infers, that because Congress, in their laws establishing a judiciary system, have provided no method for service of process upon the states, they conceived such service to be inconsistent with the government they were administering. It is not usual, and I apprehend not correct, to infer the *non-existence* of a power from the temporary *non-user* of it. Have Congress already organized, or used all the powers delegated in the constitution? Take one single instance as a specimen of hundreds. Have they availed themselves of all the modes of taxation, which the constitution gives them? and suppose they should not find it necessary for a century to come—would this be a ground to question the power or the right? This argument wants plausibility even on the first blush. The next, however, deserves a little more discussion.

4. He proceeds—"In order to *compel* a body, or an individual, to answer for a debt upon a legal process, there must be a party to complain; a tribunal to complain to, invested with power to decide; authority to compel the appearance of the party complained against, and strength to enforce a compliance with the decree which shall be made." These positions, in the abstract, are all true; the error lies in the application of them. Abstract propositions, incautiously or subtly applied, are generally the most fruitful sources of error, and the most dangerous engines of sophistry. They gain upon the mind imperceptibly, under the seductive impression of their original plausibility; and surprize it into conclusions to which it never expected to assent.

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This proposition sets out with placing the stress upon the compulsory power of the tribunal. "In order to compel a body, &c." The question is embarrassed in the first instance, with introducing the last supposition, which ought ever to be made—the contumacy of the states against this branch, or any branch of the federal government.

Are we to resort to this standard, in other cases, and on other questions, in order to determine the power and the rights of the federal government? Does it possess no powers, and on the states are no duties imposed, but what the constitution has provided a compulsory method to guard and to enforce? This would be laying the foundation of it in force, and not in contract. The constitution supposes compliance, and not resistance. He ought to have begun his sentence in this way "In order to constitute a *legitimate system of judicature*; for supposing the system legitimate or constitutional, all questions as to the mode of action are purely legislative. Let us then suppose for a moment, that all the particulars enumerated by our author are necessary—we will examine them apart with reference to the constitutional powers of the general government, and see if they present any obstacles to the construction I contend for.

1. *There must be a party to complain.* This is an elementary proposition—an axiom in jurisprudence; and there is another equally obvious and self evident, viz. *that there must be a party to be complained against.* I draw one plain inference from both; which is, that whoever complains, and whosoever is complained against, are, strictly speaking, *parties in the suit*; and of them it may be said, in the words of the constitution, that there is a "controversy between" them. Now the instrument says, that the judicial power shall extend "*to controversies between two states, a state and the citizens of another state, &c.*" And it follows, that as well a state, as its citizens, may be a party to complain, and any other state a party to be complained against. 1

2. *There must be a tribunal to complain to, invested with power to decide.* That tribunal is the Supreme Federal Court, which is, by the constitution, invested with what? Not with the privilege of being chosen by a state "to be arbitrators to whom the dispute may be referred"—not with the liberty of acquiring a transient delegated jurisdiction over a particular case from the occasional grant of one or more states *pro hac vice*—but with the *power* (the strongest word that could be used) over the cases which are therein enumerated. Surely this must mean a *power to decide*.

3. *Autority to compel the appearance of the party complained against; and strength to enforce a compliance with the decree that shall be made.*

Here we must distinguish as to the different kinds of appearance, and the modes of compelling it in a court of justice. In America we derive our jurisprudence from the common law; and from the civil law. In England, by the common law, the first proceeding is by an original writ, which is a motion to do justice, or appear at court, and shew cause wherefore he refuses. If this be not complied with, the next is in some measure compulsory, and is called an *attachment* or *proff* by which the sheriff takes certain goods of the defendant, which are forfeited if he do not appear. Next follows a *distingas* or distress infinite, by which his goods are taken, from time to time, until he is gradually stripped of all his possessions, unless he complies with the mandate. It is unnecessary to go into the tedious detail of innovations, to deduce the means by which a *capias ad respondendum* became at last the ordinary mode of commencing a suit, since no application of it could be made to a state, which upon the same principles could not be subjected to the process of out-lawry. Peers of the realm, members of parliament, and corporations, are privileged from both—the process against them being summons and distress infinite, instead of a *capias*; and by the same

rule that they cannot be arrested, they cannot be held to bail. Latterly, however, a mode has been adopted in England, which, as far as my observation has extended, has been generally imitated in America, more simple and equally efficient with the rigid mode of distress; which is, upon serving the defendant with process, if he refuses, or neglects to appear and controvert the plaintiff's claim, to presume therefrom, that he admits the claim to be just, and to grant what is called a judgment by default. In England the plaintiff files common bail (which is nothing but a mode of entering the appearance) for the plaintiff; and then proceeds with his suit. In America that formality is not observed, being, in fact, preserved in England for the only purpose of securing to the different officers, those fees which they would be entitled to, in case the defendant had appeared. It may be objected, that this is not a mode of enforcing the appearance. True—but if all the ends of an appearance are obtained by it, what substantial imperfection does it include?

The civil law corresponds in substance with the common law—if the party do not obey the citation *mittitur adversarius in possessionem bonorum ejus*. In the chancery proceedings, the bill, after obstinate default, is taken *pro confesso*. Now therefore, whether the suit proceed to a judgment by default at law; or the bill be taken, *pro confesso*, in equity, the result is the same—the right is fully and ultimately determined. Let us now apply these principles. Although I will not deny, that Congress, in adjusting the judicial system to controversies, to which a state may be called to be a party, have the constitutional power of enforcing an appearance by distress, such as seizing or sequestering the property or interests of the obstinate state; yet I should strenuously controvert the expediency and the prudence of the measure; especially when so obvious, and at the same time so effectual a mode might be adopted, in making
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a judgment by default, the penalty for contumacy. The state legislature would illy answer to their constituents for the prejudice their interests might sustain from being suffered, through deliberate *lashes* to pass, undiscussed and unattended to, through the judicial decision of the supreme court. They would be accused before the tribunal of the people, of arrogance, in rising up in opposition to the constitutional authority of the federal government; of perjury in thwarting, instead of supporting and obeying that government, which they were sworn to do when they took their seats—and of a breach of fidelity to their constituents, in abandoning their rights and interests. Or if the executive of the state, should be considered the proper representative of that state in the federal court, he would be far less competent to encounter that storm of popular resentment, to which such negligence would properly and necessarily expose him. It would be in vain to oppose his private notions of constitutional rights, as a shield to protect him. The good sense of *the people* would see, that however it might once have been a question, whether a state may be called to answer, if the point had once been decided by that body, or tribunal, to whom the prerogative of deciding may appertain, all resistance afterwards is unconstitutional, and tends to open the doors of civil war; which is, at all times, an equal enemy to their repose, and to their liberties. The people of America are, at this day, too much enlightened to be gulled by their rulers into a belief, that in thwarting constitutional powers, their interests are to be advanced. While on the one hand, they will rally round their state standard to check the progress of lawless rule in the federal government; they will, on the other, frown into insignificance every demagogue, and abandon to disgrace every local officer, who shall presume to excite their jealousies, alarm their fears, or embroil the government under false pretences.

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I infer, that the appearance of a state in the federal court will be sufficiently secured, and enforced by making a judgment by default, the penalty of refusal. The federal court possessing a power to grant such a judgment, are in possession of power, sufficient to answer that requisite laid down by our author, and that by the rule laid down by himself; which is good one, that "where a power is given to act, all necessary correspondent powers are implied in the grant."

But our author adds, *there must be strength to enforce a compliance with the decree when made.* I presume he does not mean that the requisite strength must reside in the court which makes the decree; but in the government, under which the court is constituted. In this view I admit the position; but nevertheless, must be permitted to indulge a sentiment, which I trust, is not peculiar to myself—it is this; that if the tribunal have a constitutional right to make the decree, the state concerning whom it is made, will need no external agency to carry it into effect. It will appertain to the legislature as the depository of the will of the people to make provision for a compliance. I presume that no federal laws will be passed to provide for the case of a refusal, unless those cases actually happen. That they will happen, it is un-constitutional and irreverent to suppose before hand—and I add, highly improbable, also.

1. The people in ratifying the federal government, surely did not expect, or intend to reserve to the state legislatures, the power of controverting or opposing any part of its legitimate authority. If the existence of such cases, under the old confederation, was the very evil complained of, and intended to be remedied in the new government, it is very absurd to imagine that in adopting the remedy they meant to continue the evil.

2. To suppose then the existence of such a case (which must necessarily be done in providing a remedy) is at once to impute to the legislatures a design to contradict the

the will of the people, whose will they are constituted to represent, and to advance. It contains a charge of treachery, in the first instance, accompanied with weakness. Moreover the supposition must be accompanied with another, either that the people of the state will countenance the legislature, or that they will disavow their obstinacy. To suppose the former, involves the irreverent supposition, that a state will revolt from the union. A person capable of harbouring this supposition must be equally capable of imagining, that one state will make war, form alliances, divide itself into two states, coin money—in short, where is the end of suppositions of this kind? The fact is, they are all equally wild and un-constitutional. On the other hand, to suppose that the people will disavow the obstinacy of their legislature, is giving up the point.

3. That the state legislatures will provide for a compliance, is further to be inferred from the obligations of their oaths, and the dictates of wisdom and sound policy. If the federal judiciary have the power to make a decree to bind a state; the legislature of that state cannot infringe the decree, without directly violating the constitution, which they are sworn to support. They must not only stand convicted of perjury, as men; but of weakness as politicians. If the federal government is instituted for the purpose of securing justice, domestic tranquility, and perpetuating the blessings of liberty—they must bring into jeopardy these precious benefits, whenever they weaken the fabric on which they rest. And as every state in the union would have an equal right to do the same, they would add the contagion of example, to the gross measure of guilt, which they would incur.

4. Supposing all these powerful incentives to be of no avail (and the supposition is an extravagant one) the probability that the state would comply, results strongly from this—that there resides in the union an ultimate power,

power, which will be prompted by an irresistible duty, to compel it. Government is founded on the weakness and the wickedness of men. Mutual protection is derived from mutual strength. The laws are the safeguard of the good against the bad. When principle is lost in selfishness, sentiment in vice, and public spirit in avarice, the laws will then act on the fears of the debased individual; and their apprehended terrors will stimulate the obedience of him, whom no morals can bind, nor sense of duty prompt. That legislature, therefore, which should be so lost to private virtue and public spirit, would still be apt to yield to fear, that compliance, which no principle could procure. Terrified by the solemn account to which their constituents would summon them—should they, by their delinquency, call down the strong arm of the union to execute the decrees of justice, they would not dare the consequences. Abandoned as they might be themselves, they would not be so hardy as to discard from their deliberations the honour of the state, and consign to chance the repose of her citizens.

But it may be asked, supposing the worst—by what power and in what mode, would the general government enforce the decree? I am not bound to answer this question, in order to support any of the principles I have been contending for—the mode, which prudence would point out for the exercise of a power, must even be distinct from the question, whether the power exists. Yet a few thoughts on this subject may be indulged. I have already shown, that Congress probably will not, and perhaps ought not, pass any law on this subject, until the case occurs. If however, contrary to all the calculations of probability, and to all the principles above urged, a state should think proper to disregard the judgments or decrees of the federal judiciary: the occasion would call loudly for the interposition of the general government. An act of Congress would prob-

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bably be passed for enforcing the decree. If a sum of money were awarded, it might sequester the revenues of the state, and enjoin the collectors to pay them into the hands of commissioners. This law they could not disobey; for it would be "the supreme law of the land." Or they might provide for levying a tax upon the citizens of the state; according to the state assessment—or they might order vacant lands of the state to be publicly sold. In these, or some other way, and far be it for me to prescribe, Congress might perhaps effectuate the decrees of justice. As the laws so passed would be the supreme law of the land, opposition from any number of citizens, or from any citizens, would incur criminal prosecution.

If the rights of territory should pass into judgment—laws might, in like manner, be framed for quitting the possessors from all impositions by the evicted state, and from all interferences on the behalf of its citizens. These hints, however, are only thrown out, in order to shew that some mode might be adopted, without presuming to prescribe to the wisdom of the general government. Indeed I pass hastily over them; for my mind dwells with reluctance upon cases so extravagant in the supposition, and so painful in the detail. I cherish too much veneration for the good sense of my country, and too much love for its repose, to entertain, in imagination, a scene so derogatory to both. I am persuaded, indeed, that the instance will seldom occur, of a state refusing to do justice, and being called on that account into the supreme court; but I will never believe, until I see it, that after that court has passed a decree, she will obstinately persist.

Our author goes on to shew the impossibility of a kingdom, or state, being sued in its own courts; and applying the reasoning to the United States, represents it as awkward and absurd, that a precept should go forth in the name of the president, who is a citizen of
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Virginia, and servant of the United States, to call the general government to answer before the supreme court. His principle requires no demonstration; and the impossibility of the United States being sued, is equally palpable. It is true, that no suit can be brought against a nation—and such are the United States of America. But as the similitude does not hold between the United States, in their national capacity, and any one of the states in its individual, the conclusion that therefore the latter cannot be sued, is utterly unwarranted by the premises.

I agree indeed with our author, that a state cannot be called to answer *criminaliter*; no doubt they are constitutionally out of the reach of criminal process, because the nature of the compact does not countenance the supposition, that any state, as such, can commit a crime,

Should any state pass a law, contrary to any constitutional law of the United States; her executive and judicial are bound by their oaths not to carry it into effect. Should she combine her powers, in opposition to the general government, her citizens would be reduced to obedience, or a revolution would ensue. A state, however, withdrawing herself from the union, is a case not contemplated by the constitution. Every thing which supposes *a dissolution of the compact itself*, cannot be aptly considered as a question *arising under the compact*. Innumerable cases of this kind may be feigned for the sake of indulging speculation, or of exercising ingenuity; but after all they serve only to embarrass those who would candidly and ingenuously discuss constitutional questions, and to alarm the fears of the weak or the uninformed. It is equally inadmissible to introduce into the discussion and lay any considerable stress upon the notions, entertained amongst ancient confederacies, the rules of modern corporations, the technical nicety of common law doctrines, or the
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subtil refinements of political theories. Ours is a government *sui generis*; though in its parts it embraces the principles of many, as a whole it is exemplified by none. Some of its traits are peculiar to itself, some are borrowed—but they are for the most part combined in a manner to original, that their progressive operation only can fully instruct us in the relative momentum of each. Like a number of unequal bodies put into motion, which must be left to find their common centre; which they would do of themselves in a short time, with more accuracy than any calculation could attain to. The government will soon assume its level and unfold its operation.

The prosperous omens already unfolded, excite in the breast of the patriot, the most joyful hopes, and inspire the most implicit confidence, that the sequel of our government will be flourishing and happy. The view presents a clear and gilded horizon, in which the sun of American greatness is rising with ineffable splendor, and bids fair, in its meridian power, to bury the twinkling planets of the old world. May no vapours thicken, nor storms arise, to cloud or agitate the peaceful prospect! The event depends much upon ourselves; *we* are the arbiters of our own fate. That same spirit of mutual concession, that same patriotism, that same wisdom, which planned and adopted our constitution, being protracted and kept alive by exercise, will infallibly realise all our prospects. While the fountains of opinion are kept pure, our elections free, and our representation uncontaminated—while faction is discountenanced, speculation discouraged, knowledge disseminated, industry promoted, and the arts of peace cultivated—while in one word, the laws are planned in wisdom, executed in mercy, and obeyed through principle, the people of America have every thing to hope, and but little to fear.

HORTENSIUS,

CHARLESTON, APRIL 12, 1792.